



Committed to
professional excellence

INDIAN INSTITUTE OF BANKING & FINANCE

Professional Development Centre – Eastern Zone (PDC-EZ), Kolkata

Programme (Virtual Mode) on
COMPLIANCE IN BANKING From 29th to 30th April 2025

Last Date to Apply: 23.04.2025



IIBF has emerged as a premier institute on banking and finance education. It is the largest institute of its kind in the world and is working with a Mission to “develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/ counselling and continuing professional development programmes”. Indian Institute of Banking & Finance has been awarded the ISO 21001:2018 Standard. This standard is for Educational Institutes who have achieved the required level of excellence in their academic offerings. IIBF is among the few institutes to have been conferred upon this standard.

For More Detail & Nominations Please Contact:

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BACKGROUND OF THE PROGRAMME: -Reserve Bank of India (RBI) has issued comprehensive guidelines on Compliance Function in Banks and directed that implementation of the guidelines would be subjected to comprehensive review by the RBI during the Annual Risk Based Supervision (RBS). In 2015, the RBI observed that certain supervisory concerns continue to recur, necessitating a more effective Compliance Regime in Banks. RBI then advised that greater oversight is required in the areas of Risk Based Supervision, Independence of Compliance Function, Review of Compliance Function, Manpower planning of Compliance Departments, Compliance of Monitorable Action Plan / Risk Mitigation Plan, Compliance Testing, Submission of Compliance Reports and promoting a Compliance Culture. Taking a note of RBI guidelines, IIBF has designed a Comprehensive Programme on COMPLIANCE for Banks.

PROGRAMME CONTENT

1. Role of Regulators in Banking with reference to: - RBI; SEBI; MoF – DFS - GoI; NABARD; SIDBI; NHB; FIMDA – FBIL (Financial Benchmark of India Ltd); BCSBI; AMPI (PFRDA: IRDAI: TRAI: Not regulator for Banks. However, issues relevant to Bankers will be covered).

2. Laws relating to Bankers’ with important provisions of: -RBI Act, 1934, Banking Regulation Act, 1949 ,N I Act ,FEMA / FEDAI ,IBA, Cyber Crimes and IT Security

3. Customer Service – Related Compliances: - Role of Banking Ombudsman (Including Internal Ombudsman), Provisions of Consumer Protection Act, Right to Information Act., BCSBI , On-line Customer Grievances Redressal mechanism Banks.

4. Anti-Money Laundering / KYC – Compliance: -

KYC; EDD; PMLA; FATCA; FIU; Red Flag Indicators; AML returns

5. Asset & Liability Products - Adherence to regulatory guidelines: -ALCO functions; Basel III compliance

6. Loans / Advances - Statutory and Regulatory Compliances: -Exposure norms; Priority Sector norms; IRAC norms

7. Compliances on Exports and Imports: -RBI / DGFT / FEMA Regulations; Guidelines on NRI Deposits and Remittances; ODI/ FDI; Foreign Currency Accounts; Remittance facilities for Residents; Foreign Contribution Regulation Act.

8. Statutory Regulations covering: -Banc-assurance; Mutual Funds; SEBI Provisions of Companies Act, 2013.

9. Compliance Architecture in Banks: - Definition Objectives, Compliance communication, Independence Compliance Function, Co-ordination with other business units.

10. RBS – SPARC – Objectives and Concept: - Dealing and Cooperation required to be extended by bankers to RBI, RBS team during the conduct of RBS. Tranche I / II / III - Significance, formats and furnishing information therein., RBS templates for Assessment of Compliance; Compliance with RBS / SPARC – Submission of Reports to RBI taking CCO / Compliance Department in the loop.

TARGET GROUP: This programme is intended for Bank Officers – Scale I to IV, first time Branch Managers, Officials given charge of Large Branches. Programme is suitable for existing as well as newly appointed branch heads of Rural/SU/Urban and Metro branches (General and Specialized Branches).

Open to both Members & Non Members of IIBF

Individuals may also register for this programme at their own cost.

Banks may send their nominations in bulk

Date & Time: 29th to 30th April 2025 (10.00AM to 5.30PM)	METHODOLOGY: Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences on ZOOM . Programme can be attended from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions.	FEE: Rs. 4000/- per participant plus GST@18% /- aggregating to Rs.4720/- (In case of TDS deduction, please send us TDS certificate)
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CORRESPONDENCE ADDRESS: Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Fl., 59A, Jawaharlal Nehru Road, Nr. Ravindra Sadan Metro Station, Kolkata – 700020.



INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone, Kolkata
NOMINATION FORM

Programme Title: COMPLIANCE IN BANKING

Mode of Programme: Virtual Mode Date: 29th to 30th April, 2025

Details of Nomination (to be filled by the Banks/FIs/Other Organizations):

Sl. No.	Name of Participant	Designation	Branch/ Office	Contact No.	E-mail Id.
1					
2					
3					
4					
5					

Fees Paid Rs. _____ UTR/Transaction No. _____ Date of Payment: _____

Name of Sponsoring Bank / FI: _____ **GSTN of Bank/FI:** _____

Address of the Bank/FI: _____

Phone/Mob. No. _____ E-mail id.: _____

FEE: Rs. 4,000/- per participant plus GST @18% aggregating to Rs. 4,720/-. (In case of TDS deduction, please send us the TDS certificate). (Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2Z0, **State - West Bengal**

CONTACT DETAILS:

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59A, Jawaharlal Nehru Road, Nr. Ravindra Sadan Metro Station, Kolkata – 700020



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Professional Development Centre – Eastern Zone, Kolkata

NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

Programme Title: COMPLIANCE IN BANKING

Mode of Programme: Virtual Mode Date: 29th to 30th April, 2025

Sl. No	Name (Mr/Mrs/Ms)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	Address (PERSONAL)	UTR NUMBER
1						

Name of Bank/ FI employed with: _____

Address of Bank/ FI employed with: _____

FEE: Rs. 4,000/- per participant plus GST @18% aggregating to Rs. 4,720/-.

Self-sponsored participants are requested to kindly contact the numbers given below before making the payment.

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State** - West Bengal

CONTACT DETAILS:

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CORRESPONDENCE ADDRESS : Indian Institute of Banking & Finance, PDC-EZ, Avani

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